



# Are You Compliant?

## To Learn More

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MALKIN LAW P.A.

IN-PERSON COMPLIANCE  
INSPECTIONS FOR DISTILLERIES





# MALKIN LAW SPECIALIZES IN IN-PERSON COMPLIANCE INSPECTIONS FOR DISTILLERIES

## Are You Compliant?

Malkin Law offers in-person facility compliance inspections to help distilleries understand and comply with the Federal requirements associated with their alcohol beverage products.

## Who Can Benefit from An In-Person Compliance Inspection?

**Operational distilleries:** Ensure you're up-to-date with the latest regulations either as routine compliance maintenance or upon notice that the TTB will be visiting your facility.

**New distilleries:** Ensure you're up-to-date with the latest regulations pre-launch, as routine compliance maintenance or upon notice that the TTB will be visiting your facility.

## Why Do You Need It?

Generally, there are a few reasons that the TTB may wish to visit your production facility:

- To conduct a random audit, which happens to most distillers during the time the distillery has been operational.
- Due to non-compliance found, which may include the mislabeling of products, non-compliant advertising, or late tax payments.
- To monitor distillers with a significant or increased tax liability to ensure that all taxes are being paid.

## How It Works

Malkin Law's in-person facility compliance inspections are tailored to each distillery, depending on your needs. We review documents submitted to TTB, conduct an inspection and provide a verbal and written assessment and a remediation plan, if necessary.

During a compliance inspection, we assess and address the areas of compliance that the TTB tends to focus on, especially while visiting distilleries or conducting audits. These may include:

- How to Prepare for a TTB Visit
  - Common TTB Audit Triggers
  - Equipment Labeling Procedures
  - Spirits Case Identification Markings
  - Mandatory Signage Requirements
  - Diagrams
  - Security & Safety
  - Storage of Tax-Paid Goods
  - Storage of Non-Tax Paid Goods
  - Reports & Logs
  - Changes to Permits After Approval
  - Gauging of Distilled Spirits
  - How to Handle Certain Requests from a TTB Auditor (i.e., Samples)
  - Required DSP Layout and Configuration
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